

## Operation in 2023:

On July 20, 2021, the 16th board of directors was re-elected. Five independent directors formed the audit committee, and independent director Jin-Long Liu served as the convener. The attendance is as follow

Title	Name	Number of attendances (A)	Number of actual attendance (B)	Number of delegated attendance	Actual attendance rate (%) (B / A)	Remarks
Convener	Jin-Long Liu	14	14	0	100	
Member	Xin-Wu Lin	14	14	0	100	
Member	Yung-Cheng Chuang	14	14	0	100	
Member	Shao-Yuan Chang	14	14	0	100	
Member	Chiou-Mien Lin	14	14	0	100	

## Other items that shall be recorded:

1. Where the proceedings of the Audit Committee meeting include one of the following circumstances, then describe the date, session, and motion of the Board meeting, the relevant resolutions of the Audit Committee, and the Bank's handling of the comments of the Audit Committee:

(1) All conditions stated in Article 14-5 of the Securities and Exchange Act.

Board Date/ Period	Agenda	The resolution results of the Audit Committee and the Bank's response regarding the Audit Committee's opinions
The 13th Board Meeting of the 16th Board of Directors on January 11, 2023.	Due to business needs, it is planned to handle personnel changes for accounting and financial managers. (The 13th meeting of the 4th Audit Committee on January 5, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 14th Board Meeting of the 16th Board of Directors on February 22, 2023	Revised the Bank's "Internal Control System for Securities Firms" (including internal control system, internal audit implementation rules and inspection schedule). (The 14th meeting of the 4th Audit Committee on February 20, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 14th Board Meeting of the 16th Board of Directors on February 22, 2023	Revised the Bank's "Internal Control System for Futures Trading Auxiliary Business" (including internal control system, internal audit implementation details and inspection schedule). (The 14th meeting of the 4th Audit Committee on February 20, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 14th Board Meeting of the 16th Board of Directors on February 22, 2023	Report the individual financial reports and consolidated financial reports of the company and its subsidiaries in 2022. (The 14th meeting of the 4th Audit Committee on February 20, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 14th Board Meeting of the 16th Board of Directors on February 22, 2023	The bank's 2022 annual business report is prepared. (The 14th meeting of the 4th Audit Committee on February 20, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the

Board Date/ Period	Agenda	The resolution results of the Audit Committee and the Bank mpany's response regarding the Audit Committee's opinions
		Auditing Committee: All directors present agreed to adopt the proposal.
The 15th Board Meeting of the 16th Board of Directors on March 15, 2023	In order to cooperate with the profit distribution of stock dividends in 2022, it is planned to handle the conversion of profits into capital and issue new shares. (15th meeting of the 4th Audit Committee on March 8, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 15th Board Meeting of the 16th Board of Directors on March 15, 2023	It is planned to issue the 2022 "Internal Control System Statement" in accordance with regulations. (15th meeting of the 4th Audit Committee on March 8, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 17th Board Meeting of the 16th Board of Directors on May 4, 2023	Report the consolidated financial report of the company and its subsidiaries for the first quarter of 2023. (The 17th meeting of the 4th Audit Committee on May 2, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 18th Board Meeting of the 16th Board of Directors on June 14, 2023	Revised the Bank's "Internal Control System for Securities Firms" (including internal control system, internal audit implementation rules and inspection schedule). (The 18th extraordinary meeting of the 4th Audit Committee on June 7, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 19th Board Meeting of the 16th Board of Directors on July 12, 2023	Revised the Bank's "Internal Control System for Securities Firms" (including internal control system, internal audit implementation rules and inspection schedule). (19th meeting of the 4th Audit Committee on July 5, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 19th Board Meeting of the 16th Board of Directors on July 12, 2023	Revised the Bank's "Internal Control System for Futures Trading Auxiliary Business" (including internal control system, internal audit implementation details and inspection schedule). (The 19th meeting of the 4th Audit Committee on July 5, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 20th Board Meeting of the 16th Board of Directors on August 16, 2023	Report the individual financial reports and consolidated financial reports of the company and its subsidiaries for the second quarter of 2023. (The 20th meeting of the 4th Audit Committee on August 9, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 20th Board Meeting of the 16th Board of Directors on August 16, 2023	Revised the Bank's "Internal Control System for Securities Firms" (including internal control system, internal audit implementation rules and inspection schedule). (The 20th meeting of the 4th Audit Committee on August 9, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 21st Board Meeting of the 16th Board of Directors on September 20, 2023	Revised the Bank's "Internal Control System for Securities Firms" (including internal control system, internal audit implementation rules and inspection	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.

Board Date/ Period	Agenda	The resolution results of the Audit Committee and the Bank mpany's response regarding the Audit Committee's opinions
	schedule). (The 21st meeting of the 4th Audit Committee on September 15, 2023)	The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 21st Board Meeting of the 16th Board of Directors on September 20, 2023	Formulate the "Internal Control System for the Centralized Settlement Business of Over-the-Counter Derivative Financial Products of TBB." (hereinafter referred to as this internal control system). (The 21st meeting of the 4th Audit Committee on September 15, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 22nd Board Meeting of the 16th Board of Directors on October 18, 2023	Revised the Bank's "Internal Control System for Securities Firms" (including internal control system, internal audit implementation rules and inspection schedule). (The 22nd meeting of the 4th Audit Committee on October 11, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 23rd Board Meeting of the 16th Board of Directors on November 2, 2023	Report the consolidated financial report of the company and its subsidiaries for the third quarter of 2023. (The 23rd meeting of the 4th Audit Committee on November 1, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 24th Board Meeting of the 16th Board of Directors on December 13, 2023	The bank intends to appoint " Klynveld Peat Marwick Goerdeler " Li Fenghui and Cai Peiru accountants to handle Ming (2023) annual financial report, tax inspection and visa, agreement procedure project inspection and other business inspection public fee cases) (The 24th meeting of the 4th Audit Committee on December 6, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 24th Board Meeting of the 16th Board of Directors on December 13, 2023	Revised the Bank's "Internal Control System for Securities Firms" (including internal control system, internal audit implementation rules and inspection schedule). (The 24th meeting of the 4th Audit Committee on December 6, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.
The 24th Board Meeting of the 16th Board of Directors on December 13, 2023	Revised the Bank's "Internal Control System for Futures Trading Auxiliary Business" (including internal control system, internal audit implementation details and inspection schedule). (The 24th meeting of the 4th Audit Committee on December 6, 2023)	Resolution results of the Audit Committee: passed and submitted to the Board of Directors for review.  The Bank's response to the opinions of the Auditing Committee: All directors present agreed to adopt the proposal.

(2) Except for the items in the preceding issues, other resolutions approved by two-thirds of all the director but yet to be approved by the Audit Committee: None.

2.Directors abstaining in certain proposals for being a stakeholder, (the name of the Director(s), the content of the proposal, reasons for abstentions and the results of voting counts shall be stated): No.

3.Key annual emphasis in working for the Audit Committee: The Audit Committee aims to assist the Board in using the appropriate expressions of the Bank's financial statements; appointing (dismissing) the CPA and assuring its independence and performance; and overseeing effective implementation of internal controls, compliance with laws, regulations, and rules; as well as implementing controls for existing or potential risks.

(1) Supervise the appropriate expressions in the Bank's financial statements: Review the quarterly financial statements (the first quarter of 2023 will be reviewed at the 17th meeting of the 4th Audit Committee on May 2, 2023, and the second quarter of 2023 will be reviewed at the 20th meeting of the 4th Audit Committee on August 9, 2023 Meeting deliberation, deliberation at the 23th meeting of the 4th audit committee on November 1, 2023 in the third quarter of 2023) and the 2022 annual financial statements, business reports and profit distribution statement (after the 14th meeting on February 20, 2023 deliberation at the 5th meeting of the Audit Committee), and issue the review report to the shareholders' meeting according

to the requirements under Article 219 of the Company Act approved by paragraph 3, Article 14-4 of the Securities and Exchange Act.

- (2) Appointment (dismissal) of the CPA and assuring its independence and performance: In the event of the selection (dismissal) of accountants and the annual assessment of the independence and performance of accountants (approved at the 24th meeting of the 4th audit committee on December 6, 2023), and submitted to the board of directors for consideration (by December 13, 2023 Approved at the 24th Board Meeting of the 16th Board of Directors).
- (3) Effective implementation of internal controls: The Bank has established the organization, structure, and authorization, and has prepared the internal audit workbook and the working paper. These items shall contain evaluations of the requirements and the business process under the internal control system to determine whether the current system and procedures have proper internal controls in place; whether the departments comply with relevant requirements and duly execute the internal controls; and the rationale of the effects of executing such internal controls, and proposals of improvement recommendations at any time. The internal audit shall carry out the audit activity according to the rules, and shall submit reports to the Board and the Audit Committee on a regular basis.
- (4) Compliance with relevant laws and rules, and management and control of existing or potential risks: The compliance manager of the head office reports on the implementation of the bank's legal compliance system as follows: The implementation of the bank's legal compliance system in the fourth quarter of 2022 was reported in the 4th audit on February 20, 2023 The report of the 14th meeting of the committee, the management of the bank's legal compliance system in the first quarter of 2023 was reported on the 17th meeting of the 4th Audit Committee on May 2, 2023, and the management of the bank's legal compliance system in the second quarter of 2023 was reported in August 2023 The 20th meeting of the 4th Audit Committee was reported on the 20th, and the Bank's legal compliance system management situation in the third quarter of 2023 was reported at the 23rd meeting of the 4th Audit Committee on November 1, 2023.