

## The specific situation of preventing insider trading

This behavior strengthens the knowledge of insider equity transactions and attaches great importance to compliance with laws and regulations. It conducts digital online learning education and training for all bankers every year. The bankers must complete the education and training, and put the audio-visual files of the course in the internal digital learning system, so that the bankers can continue to learn at any time and implement compliance. According to the decree, the situation in 2023 is as follows:

1. Prohibition of insider trading: Article 9 of " Directions for Equity Management".
2. Education and training time: From November 1, 2023 to November 30, 2023, conduct education and publicity on the Digital Learning Network for at least 20 minutes.
3. Course content: "Insider trading and insider equity trading precautions", the course content includes insider trading regulations, insider equity trading regulations, violations and penalties.
4. Personnel participating in education and training: A total of 4,945 insiders and employees of the Bank.

## The specific implementation of directors not being allowed to trade their stocks during the closed period of 30 days before the announcement of the annual financial report and 15 days before the announcement of the quarterly financial report

1. In the fourth quarter of each year, TBB will provide the scheduled board meeting date list for the next year, and mark in prominent fonts the scheduled financial report submission date to the board of directors and the closed period during which directors are prohibited from trading the company's stocks.
2. Prohibition of directors' transactions in quarterly and annual financial reports  
Before the start of the closed period, remind all directors to abide by the prohibition of transactions in writing (including electronic means) and by phone.